

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----x	
	<b>Chapter 11 Case No.</b>
	<b>08-13555 (JMP)</b>
	<b>(Jointly Administered)</b>
	<b>Ref. Docket Nos. 41790 &amp; 42069</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 23, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
28<sup>th</sup> day of January, 2014  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HSBC PRIVATE BANK SUISSE SA  
ATTN: JACQUES DUROUVENOT, SECURITIES DEPARTMENT MANAGER  
QUAI GENERAL GUISSAN 2  
GENEVA 3 1211 SWITZERLAND

Additional:

Transferee: BANQUE SYZ & CO S.A., GENEVA  
RUE DE RHONE 30/P.O. BOX 5015  
GENEVA CH-1211 SWITZERLAND

Your transfer of claim # 51761 is defective for the reason(s) checked below:

Expunged By Court Order

Docket Number 42069

Date 01/07/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 23, 2014.

## EXHIBIT B

Name	Address
BANQUE SYZ & CO S.A., GENEVA	RUE DE RHONE 30/P.O. BOX 5015 GENEVA CH-1211 SWITZERLAND
FIBI BANK (SWITZERLAND) LTD	SEESTRASSE 61 ZURICH CH-8027 SWITZERLAND
HSBC PRIVATE BANK SUISSE SA	ATTN: JACQUES DOROUVENOT, SECURITIES DEPARTMENT MANAGER QUAI GENERAL GUISSAN 2 GENEVA 3 1211 SWITZERLAND
UBS AG	BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND

Total Number of Records Printed 4